UACCESS EDOC NUMBER (FOR PROJECTS REQUIRING AN IRB FEE)

PROJECT TITLE: Maltese Morphology

INVESTIGATOR

Principal Investigator Name, Degree(s): Shiloh N. Drake, BA
Principal Investigator UA netID: sndrake
Status/Rank: Ph.D. Student
Center: 
Section: 
Department: Linguistics
College: Social & Behavioral Sciences
Contact phone: 714-329-9211
Official University Email: sndrake@email.arizona.edu

ADVISOR CONTACT INFORMATION (REQUIRED FOR ALL STUDENTS AND RESIDENTS)

Name, Degree(s), UA NetID: Adam Ussishkin, Ph.D. ussishki
Contact phone: 520-626-7121
Official University Email: ussishki@email.arizona.edu

ALTERNATE/COORDINATOR CONTACT INFORMATION

Name, UA NetID: 
Contact phone: 
Official University Email: 
SECTION 1: REQUIRED SIGNATURES

1. PRINCIPAL INVESTIGATOR
I will conduct my research according to the University of Arizona HSPP Investigator Manual.

Signature

04/10/14
Shiloh Drake

Date
Print Name

2. ADVISOR (FOR ALL STUDENTS AND RESIDENTS ACTING AS THE PI)
I will oversee the student researcher according to the University of Arizona HSPP Investigator Manual.

Signature

10 Apr 2014
Linguistics

Date
Department

3. SCIENTIFIC/SCHOLARLY REVIEW (CANNOT BE ASSOCIATED WITH THE PROJECT)
I have examined the proposal cited above, and find that the information contained therein is complete and that the scientific or scholarly validity of the project appears appropriate.

Signature

4/23/14
Natasha Warner

Date
Print Name

Official University Email

nwanner@u.arizona.edu

Phone number

626-5591

4. DEPARTMENT/CENTER/SECTION REVIEW
I have reviewed this application and determined that all departmental requirements are met and that the investigator has adequate resources to conduct the Human Research.

Signature

1/3/14
Ofele Ze Pedro

Date
Print Name

Official University Email

gofe@eas.arizona.edu

Phone number

621-8294

5. RESPONSIBLE PHYSICIAN (PROJECTS INVOLVING MEDICAL PROCEDURES WHICH THE PI IS NOT AUTHORIZED TO CONDUCT)
I am a physician licensed by the State of Arizona (or US license for the SAVAHCS). I will be responsible for ensuring that all procedures that are part of this project and that require the attendance of a licensed physician will have a suitable physician present during the procedures. If at any time this is not possible, I will inform the IRB before any procedures are conducted.

Signature

Date
Print Name
6. NATIVE AMERICAN OR INTERNATIONAL INDIGENOUS POPULATIONS REVIEW
Signature needed only if research takes place in Indian Country or among international Indigenous populations, actively recruits Native Americans or international Indigenous populations for enrollment, and/or requires stratification of Native Americans or international Indigenous populations as one of the statistical analyses or study aims.

☐ Social and Behavioral Projects: American Indian Studies, (520)621-7108
☐ Biomedical Procedures: Office of Outreach and Multicultural Affairs, (602)827-2327

I have examined the proposal cited above and advise that further appropriate tribal/Indigenous approval [ ] is [ ] is not necessary.

__________________________________________  ____________________________________________  ________________________________
Signature                              Date                                      Print Name
SECTION 2: GENERAL INFORMATION

1. Not including this project submission, how many:
   a. Human Research studies is the PI involved in as key personnel? 1
   b. Active subjects are there in the PI's open Human Research study/ies? 0
   c. Investigators are involved on the PI's open Human Research studies? 2
   d. Research coordinators are involved on the PI's open Human Research studies? 0

2. What is the expected length of this project? Up to five years

3. Retention of study materials before, during, and after completion of the project:
   a. Where will the original signed consent and PHI Authorization documents be stored (building name and room)? n/a
   b. How long will the data/consents be kept after conclusion of the project? 6 years
      □ Other: Data will not be destroyed.

4. If the Human Research project is funded, identify all sponsoring entity/ies): n/a

5. If funding support is from a federal agency (such as a training grant, infrastructure grant, salary support, project grant, etc.), list federal agency and grant number n/a

6. Total funding amount OR per subject amount: n/a

7. The Principal Investigator hereby affirms that ALL individuals who meet the definition of "investigator" for this project in the current "Policy on Investigator Conflict of Interest in Research" have completed the mandatory Conflict of Interest training (http://orcr.arizona.edu/coi/training) and Disclosure of Significant Financial Interests (https://uavpr.arizona.edu/COI/). □ Yes

8. Will this project be registered on ClinicalTrials.gov because …? □ Yes □ No
   a. the local PI is the sponsor of the clinical trial (including NIH-funded clinical trials where the local PI is the funding recipient OR IND holder);
     OR
   b. The PI has been designated by a sponsor, contractor, grantee, or awardee to register the clinical trial to ClinicalTrials.gov, as the Responsible Party (responsible for conducting the trial, and has sufficient data rights)

If yes, please check the appropriate box:
□ ClinicalTrials.gov "NCT" number for this trial (define):
□ Registration pending
□ Clinical trial does not require registration (click above to see what studies qualify)
SECTION 3. PROJECT NARRATIVE

1) Background

Research has shown us that the parts that make up words, such as suffixes, prefixes, roots, and patterns, are psychologically real, and can be manipulated and tested in a laboratory setting. Because this research has previously been performed on languages with only one kind of word formation, we are missing a piece of the evidence. What happens in the speaker’s mind when the language’s vocabulary is historically rooted in two languages?

In Maltese, roughly half of the vocabulary is formed the same way other Semitic languages are with roots and patterns, and the other half is formed in the same way that Indo-European languages are with prefixes and suffixes. The vocabulary types also do not overlap in the way that their words are formed: That is, a word from the Semitic vocabulary will generally not take a suffix, and a root word from the Indo-European vocabulary will not be applied to a pattern. Based on the kind of word formation patterns Maltese uses, we would expect that the words in the Indo-European vocabulary have the same kind of formation rules that other Indo-European languages use, while the words in the Semitic vocabulary would have the same sort of formation rules that Arabic uses.

2) Lay Summary (approximately 400 words)

The proposed project intends to test whether native speakers of Maltese form Maltese words in a way that patterns with Arabic or that patterns with Indo-European languages, like Italian or English. Since Maltese vocabulary items are borrowed from all three languages, and those languages have different ways of putting pieces of words together, this research will find out whether Maltese speakers find one of those methods more natural than the others.

This research will be conducted with behavioral methods. One part will take place in person at the University of Malta, and the other will take place online through Amazon’s Mechanical Turk survey forms. Participants will be asked to form new words based on instructions that they are given. Part of this entails that they will be presented with sequences of letters that sound like they might be words of Maltese (such as naffir or blass). These fake words have been previously vetted by speakers of Maltese to ensure that they do not look or sound like potentially offensive or other sensitive words in Maltese or English.

3) Setting of the Human Research

Research will be conducted in the language labs at the Institute of Linguistics at the University of Malta as well as online via Amazon’s Mechanical Turk surveys. Participants will be briefed before and after participation.
4) Resources available to conduct the Human Research

The laboratory in which this research will take place has space for multiple experiments to be run simultaneously. Research will be conducted using a portable computer set up in this space that can run the behavioral software by which the stimuli will be presented to the participants and with which the participants’ responses to the stimuli will be collected. The access to the portable computer is limited to the PI and advisor.

The PI has experience designing and conducting psycholinguistic research. The PI’s advisor has extensive experience designing and conducting psycholinguistic research. On the basis of this expertise, all personnel will be trained to ensure safety, accuracy, and consistency in measurement. This training will include use of the collection and presentation software and participant rights, as well as regular evaluation and review.

The PI’s advisor is a long-term collaborator with the researchers at the Institute of Linguistics at the University of Malta, and has permission to run experiments there. Additionally, he has permission for his students to run their own experiments there.

5) Study Population

Up to 200 participants will be recruited from the University of Malta. Subjects are undergraduate students from this institution and will be compensated with €5. Up to 200 participants will be recruited via Amazon’s Mechanical Turk protocol, and these subjects will be recruited and compensated according to the system. These subjects may not be the same age as the undergraduate students.

This pool will naturally include members of the populations relevant to this study, namely both males and females who are native Maltese speakers. Men and women and members of all ethnicities will be equally encouraged to participate. The subjects are expected to be representative of the ethnic groups present in Malta. Participants are expected to be at least bilingual, with Maltese and English being languages that they can speak and read. Selection criteria will balance male and female participants.

No vulnerable individuals are targeted for participation in this study. Because of the entirely non-medical nature of the experiment, any subjects who are or who plan on becoming pregnant are not considered a vulnerable population for this study. All participants are to be adults of age 18 or older. The risk associated with participation in this study is no greater than that in daily life.

6) Recruitment Methods and Consenting Process

The study procedures, risks and benefits described in the disclosure form (Appendix A) and the voluntary nature of the study will be verbally explained to participants by a researcher when they arrive at the laboratory, and the researcher will answer any questions from the participants. Participants in the experiment will then read the disclosure form in Appendix A, and will be reminded that their participation in the study is voluntary, and they may stop at any time. A disclosure form with no signature is sufficient for this study in place of a signed consent form as the task is similar to what
participants may do on their own computers every day, and presents no more risk than looking at a computer screen and typing does. This will be accompanied by a verbal explanation or clarification by the PI, if necessary. The participants may take as much time as they desire to review and/or discuss the consent form, but it is not expected that more than 20 minutes would be required.

As Maltese people can read and speak English fluently, forms will be presented in English. Protection from undue influence to participate comes from the fact that all participation is strictly voluntary. Subjects will be reminded of this during the consent process, and this is also stated on the disclosure form. Each participant will be receiving only a small sum of money (€5, or about $0.15 through Amazon’s Mechanical Turk) for their participation.

7) **Procedures involved in the Human Research**

All in-person participant procedures will be carried out in the linguistics labs at the Institute of Linguistics at the University of Malta. Participants will be shown to a room with a computer and a keyboard to record responses. First, they will be asked to read the disclosure form. This should take no more than 20 minutes. During the experimental task, the participant will see sentences and pictures presented on the computer screen (see Appendix C for example stimuli). The participant will be asked to respond to the stimuli by typing on a keyboard. This portion of the study should take no more than 30 minutes.

After this is complete, the participants will be debriefed using the script in Appendix B. Finally, the participants will be asked to fill out a language questionnaire, such as that in Appendix D.

Both the in-person and online versions of this research will be conducted in this manner. However, the online participants will not be debriefed in person, but instead will read the script in Appendix B after they have completed the task.

8) **Risks to subjects**

The risk associated with participation in this study is no greater than that in daily life. Subjects will be reading words on a computer screen for no more than 30 minutes, and typing on a keyboard in response to the instructions on the screen. If subjects do experience any discomfort, they are freely allowed to cease participation in the study.

9) **Potential benefits to subjects and/or society**

There are no direct benefits to subjects in this experiment. The benefit to society is that we will gain a better understanding of how people process words in Maltese.

10) **Provisions to protect the privacy of subjects and the confidentiality of data**

Participants will consent, participate, and be debriefed in the privacy of a laboratory setting; only research staff will have knowledge of participation. Because of the low-risk nature of this experiment, this is sufficient protection of privacy during participation.
Collected experimental data will be associated with a subject number carrying no link to personally identifying information. This data will be stored on a password-protected computer. Access will be limited to research personnel. Because of the anonymity of the data collection, no signature will be collected in the consent process.

11) Subject compensation

In-person participants will receive €5 for their participation, while participants recruited via Amazon’s Mechanical Turk will be compensated according to the protocol laid out by the program (about $0.15). Both of these are small amounts of money, which means that subjects will not be tempted to participate in the experiment simply to earn the money when they otherwise would not want to be a participant.

12) Withdrawal of subjects

Subjects will not be withdrawn from the study.

13) Sharing of results with subjects

Subjects will be advised at the end of the experiment that if they are interested in the outcome of the experiment, they should contact the experimenter at the end of the calendar year.

SECTION 4: LIST OF ATTACHMENTS FOR THIS SUBMISSION

<table>
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<tr>
<th>Document Name</th>
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<tr>
<td>A. Disclosure Form</td>
<td>1. 04/10/14</td>
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<tr>
<td>B. Debriefing Script</td>
<td>2. 04/10/14</td>
</tr>
<tr>
<td>C. Example Stimuli</td>
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<td>D. Language Questionnaire</td>
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<td>E. Disclosure Form: Amazon Mechanical Turk</td>
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<td>F. Verification of Human Subjects Training Form</td>
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<td>G. Shiloh Drake CV</td>
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<tr>
<td>H. Dr. Adam Ussishkin CV</td>
<td>8. 04/10/14</td>
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APPENDIX A

DISCLOSURE FORM

Title of Project: Maltese Morphology

You are being invited to voluntarily participate in the above-titled research study. The purpose of the study is to understand how morphemes are used and processed. You are eligible to participate because you read and speak Maltese and English, you are a student enrolled at the University of Malta, and you are over 18 years of age.

If you agree to participate, your participation will involve responding to letter sequences (such as words). You will type in a response to those letter sequences as directed. The experiment will take place at the Institute for Linguistics, and will last approximately 30 minutes.

Any questions you have will be answered and you may withdraw from the study at any time. There are no known risks from your participation and no direct benefit from your participation is expected. There is no cost to you except for your time and you will be paid €5 for your participation.

Only the principal investigator and research personnel will have access to your name and the information that you provide. In order to maintain your confidentiality, your name will not be retained after your participation. You may decide to not begin or to stop the study at any time. Your refusing to participate or your decision to discontinue your participation will have no effect on your student status. Also any new information discovered about the research will be provided to you. This information could affect your willingness to continue your participation.

You can email the Principal Investigator or the Principle Investigator’s advisor to tell about a concern or complaint about this research study. The Principle Investigator is Shiloh Drake, a Ph.D. student in Linguistics at the University of Arizona, who can be emailed at sndrake@email.arizona.edu. The Principal Investigator’s advisor is Adam Ussishkin, Professor of Linguistics at the University of Arizona, who can be emailed at usshipkim@email.arizona.edu. If you have questions about your rights as a research subject you may call the University of Arizona Human Subjects Protection Program office at +1 (520) 626-6721. If you have questions, complaints, or concerns about the research and cannot reach the Principal Investigator, or want to talk to someone other than the Investigator, you may call the University of Arizona Human Subjects Protection Program office. If you would like to contact the Human Subjects Protection Program via the web (which can be anonymous), please visit http://www.irb.arizona.edu/contact/.

By participating in this study, you are giving permission for the investigator to use your information for research purposes.

Thank you.

Shiloh Drake

Version: 04/10/14
APPENDIX B

The script below is an example of what would be said during the debriefing. Because of the natural variation in conversation, the actual utterances may vary. However, the key ideas expressed in this example will be present in every variation on the script.

SAMPLE SCRIPT FOR DEBRIEFING SUBJECTS AFTER THE EXPERIMENT

This research has to do with how you form words in Maltese. Maltese words have been borrowed historically from languages in two language families: Semitic languages, such as Arabic, and Indo-European languages, such as Italian. Both of these families have different rules and patterns that have to do with how speakers are allowed to form words.

In this task, you saw fake words that were the names of made-up animals. The words looked like they could have been borrowed from either Indo-European languages or from Semitic languages. In the experiment, you had to change the words in various ways—sometimes you were asked what you would call a similar animal of a different color, and sometimes you were asked what you would call a similar animal of a different size. Your responses tell me how you are forming words. To alter the meaning of each word, you might be adding suffixes, you might be using adjectives, or you might be doing something else altogether.
APPENDIX C: SAMPLE STIMULI

The stimuli below are representative of the kinds of questions that will be asked (subjects may also be asked about different colored animals). Subjects will see a sentence of the form “This is a(n) _________” in Maltese, along with the corresponding picture, then will see a sentence of the form “Here is a [smaller/bigger/red/purple/green/etc.] one. What would you call it?” and the corresponding picture, and will be provided with a textbox to type their answer.

This is a TAMMIEL.

This is a ĠIMIR.

Here is a smaller one. What would you call it?

Here is a larger one. What would you call it?
APPENDIX D
LANGUAGE QUESTIONNAIRE

Please answer the following questions about your language background.

1. What is your occupation?

2. In which town or region did you grow up?

3. In which town or region do you now live?

4. What language(s) were spoken often in the home where you grew up? (In this and subsequent questions, if you tick multiple languages, please specify the approximate percentage of time applicable to each language. For instance, if Maltese was spoken most of the time in your childhood home, but some English was also spoken, you could indicate Maltese: 90% and English: 10%)
   - Maltese: _______%
   - English: _______%
   - Other (please specify): ___________________________%

5. What type of primary school did you attend?
   - State
   - Church
   - Independent

   What was the primary language spoken at this school? Please indicate all that apply.
   - Maltese: _______%
   - English: _______%
   - Other (please specify): ___________________________%
APPENDIX D
LANGUAGE QUESTIONNAIRE

6. What type of secondary school did you attend?

State  Church  Independent

What was the primary language spoken at this school? Please indicate all that apply.

Maltese: ______ %

English: ______ %

Other (please specify): ___________________________%

7. Did you attend university?

Yes  No

If yes, state the number of years attended: ______

Highest degree obtained: ______________________________

Course of study: ______________________________

If your university training was in a country other than Malta, please specify the institution and languages used:

__________________________________________________________________________

8. In which language(s) do you usually speak to the following people?

Father: __________________________

Mother: __________________________

Grandparents: __________________________

Siblings: __________________________
APPENDIX D
LANGUAGE QUESTIONNAIRE

Children: ____________________________

Friends: ____________________________

Coworkers: ____________________________

Customers/Clients/Other business contacts: ____________________________

9. In which language(s) are the newspapers, magazines, and books you read regularly?

   Maltese: _______%

   English: _______%

   Other (please specify): ___________________________%

10. In which language(s) are the television programs you watch regularly?

    Maltese: _______%

    English: _______%

    Other (please specify): ___________________________%

11. Please list all languages you speak and rate your overall proficiency in each.

    Maltese: Excellent  Good  Fair  Poor
    English: Excellent  Good  Fair  Poor
    Other: ______________ Excellent  Good  Fair  Poor
    Other: ______________ Excellent  Good  Fair  Poor
    Other: ______________ Excellent  Good  Fair  Poor
APPENDIX D
LANGUAGE QUESTIONNAIRE

12. What is the longest period of time you have spent continuously outside of Malta?

Never been outside Malta
One week or less
Less than one month
2-5 months
6 months to one year
Longer than one year

Which language(s) did you use most during this absence?

______________________________________________

13. On average, how much time do you spend outside Malta per year?

None
One week or less
Less than one month
Less than six months
More than six months

Which language(s) did you use most during this absence?

______________________________________________
APPENDIX E

DISCLOSURE FORM

Title of Project: Maltese Morphology

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If you agree to participate, your participation will involve responding to letter sequences (such as words). You will type in a response to those letter sequences as directed. The experiment will last approximately 30 minutes.

Any questions you have will be answered and you may withdraw from the study at any time. There are no known risks from your participation and no direct benefit from your participation is expected. There is no cost to you except for your time and you will be compensated via Amazon’s Mechanical Turk for your participation.

Only the principal investigator and research personnel will have access to your name and the information that you provide. In order to maintain your confidentiality, your name will not be retained after your participation. You may decide to not begin or to stop the study at any time. Your refusing to participate or your decision to discontinue your participation will have no effect on your student status. Also any new information discovered about the research will be provided to you. This information could affect your willingness to continue your participation.

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By participating in this study, you are giving permission for the investigator to use your information for research purposes.

Thank you.

Shiloh Drake

Version: 04/10/14
**FORM:** Verification of Human Subjects Training Form (VOTF)

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- Only electronic submissions will be accepted

Use to list all current Key Personnel

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**Project Title:** Maltese Morphology

**Investigator:** Shiloh N. Drake

**Investigator's Contact Information:**
Official University Email: sndrake@email.arizona.edu
Phone: 714-329-9211

**Alternate Contact:** Dr. Adam Ussishkin

**Alternate Contact's Information:**
Official University Email and NetID: usshiki@email.arizona.edu
Phone: 520-626-7121

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